

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,377	11/26/2001	Mark E. Fauver	UNIV0130

CONFIRMATION NO. 8210

FORMALITIES LETTER



OC000000007164993

Ronald M. Anderson
LAW OFFICES OF RONALD M. ANDERSON
600 - 108th Avenue N.E., Suite 507
Bellevue, WA 98004

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$393.
 - \$225 for 25 total claims over 20.
 - \$168 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 828.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/01/2002 JADD01 00000074 09994377
06 FC:201
07 FC:202
08 FC:203
09 FC:205
370.00 DP
168.00 DP
225.00 DP
65.00 DP



Section #
BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Fauver et al. Attorney Docket No: UNIV0130
Serial No: 09/994,377 Group Art Unit: 2874
Filed: November 26, 2001 Examiner:
Title: MICRO-FABRICATED OPTICAL WAVEGUIDE FOR USE IN
SCANNING FIBER DISPLAYS AND SCANNED FIBER IMAGE
ACQUISITION

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/
REQUEST FOR RECORDATION OF ASSIGNMENTS

Bellevue, Washington 98004

January 8, 2002

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

A. Transmitted Herewith Are The Following:

- X 1. An executed Combined Declaration and Power of Attorney in the above-identified U.S. patent application.
- X 2. Five Assignments of the invention from each of the inventors to the University of Washington are attached. A Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to each Assignment. Please record these Assignments in accordance with 37 C.F.R. § 3.11.
- X 3. A copy of the Notice to File Missing Parts.
- X 4. Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.

COMPUTATION OF FEE

	<u>Number of Claims Filed</u>	<u>Number of Extra Claims</u>	<u>Rate</u>	<u>Basic Fee</u> \$370
Total Claims	45 - 20 =	25	\$9	225
Independent Claims	7 - 3 =	4	\$42	168
Multiple Dependent Claims	---	---	\$140	-0-
Total Filing Fee				\$763
Surcharge Fee				65
Assignment Recording Fee				<u>200</u>
TOTAL FEE				<u>\$ 1028</u>

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26


X 5. Our check No. 5629 in the amount of \$1028 to cover the total fee as computed above.

B. Additional Fee Charges or Credit for Overpayment

Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940.

A copy of this sheet is enclosed.

Respectfully submitted,


Ronald M. Anderson
Registration No. 28,829

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on January 8, 2002.

Date: January 8, 2002

